

General Assembly

Substitute Bill No. 7302

January Session, 2017



AN ACT CONCERNING ISOLATED CONFINEMENT AND CORRECTIONAL STAFF TRAINING AND WELLNESS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. (NEW) (*Effective October 1, 2017*) (a) As used in this section:
- 3 (1) "Individual with a physical disability" means any of the following:
- 5 (A) An individual with impaired vision who is blind, as defined in 6 section 46a-51 of the general statutes;
 - (B) An individual who is a deaf person, as defined in section 46a-63 of the general statutes; and
- 9 (C) An individual with severe mobility restrictions who: (i) Has 10 been prescribed a full-time wheelchair accommodation to ambulate in 11 and out of their cell or bed area; (ii) has been prescribed an intermittent 12 wheelchair accommodation to ambulate outside of such individual's 13 cell or bed area; or (iii) has been prescribed a walker, cane or other 14 assistive device, other than a wheelchair, to ambulate, and cannot walk 15 up or down stairs;
- 16 (2) "Intellectual disability" means intellectual disability, as defined

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- in section 1-1g of the general statutes;
- 18 (3) "Isolated confinement" means confinement of an inmate in a
- 19 correctional facility, pursuant to punitive, investigative, administrative
- 20 or other classification, in a cell, alone or with other inmates, for twenty
- 21 or more hours per day;
- 22 (4) "Other significant mental impairment" means any disorder, other
- 23 than serious mental illness, including, but not limited to, any of the
- 24 following:
- 25 (A) Mental disorder that is frequently characterized by breaks with
- 26 reality and leads to significant functional impairment;
- 27 (B) Cognitive disorder, pervasive developmental disorder or
- 28 organic brain syndrome that results in a significant functional
- 29 impairment; and
- 30 (C) Severe personality disorder that is manifested by frequent
- 31 episodes of psychosis or self-injury and results in significant functional
- 32 impairment;
- 33 (5) "Prolonged isolated confinement" means any period of isolated
- 34 confinement in excess of fifteen days;
- 35 (6) "Restraint" means any mechanical device used to control the
- 36 movement of an inmate's body and limbs, including, but not limited
- 37 to, flex cuffs, soft restraints, hard metal handcuffs, a black box, leg
- 38 irons, belly chains, a security chain or a convex shield;
- 39 (7) "Restrictive housing" means the housing of an inmate that is
- 40 physically separated from other inmate housing, and as described by
- 41 the Department of Correction on its Internet web site, pursuant to
- 42 subsection (b) of this section;
- 43 (8) "Serious mental illness" means a diagnosis by a qualified mental
- 44 health professional based on the most recent edition of the American

- 45 Psychiatric Association's "Diagnostic and Statistical Manual of Mental 46 Disorders" with a substantial disorder of thought or mood that 47 significantly impairs judgment, behavior, capacity to recognize reality 48 or ability to cope with the ordinary demands of life, including, but not 49 limited to, the following: (A) Schizophrenia; (B) delusional disorder; 50 (C) schizophreniform disorder; (D) schizoaffective disorder; (E) brief 51 psychotic disorder; (F) substance-induced psychotic disorder, 52 excluding intoxication and withdrawal; (G) psychotic disorder not 53 otherwise specified; (H) major depressive disorders; and (I) bipolar 54 disorder I and II; and
 - (9) "Use of force" means physical contact, including contact through use of an armory item or canine, initiated by a staff member in response to a noncompliant inmate for the purposes of establishing, maintaining or restoring control, order, safety or security, but does not include routine use of physical contact or the routine use of restraints.
 - (b) The Department of Correction shall publish on its Internet web site the formula for calculating an inmate's mental health score and a description of any form of restrictive housing used in this state's correctional facilities and shall identify which, if any, of these forms of restrictive housing constitute isolated confinement.
 - (c) (1) The Department of Correction shall at least annually submit to the Criminal Justice Policy and Planning Division established under section 4-68m of the general statutes the following aggregated and anonymized data and information:
- 69 (A) The number of inmates in restrictive housing units in 70 correctional facilities, as of the first day of each of the preceding twelve 71 months;
 - (B) The number of inmates currently in units of restrictive housing who have spent the following cumulative durations of time in isolated confinement or prolonged isolated confinement:
- 75 (i) One to fifteen days;

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76	(ii) Sixteen to thirty days;

- 77 (iii) Thirty-one to one hundred eighty days;
- 78 (iv) One hundred eighty-one to three hundred sixty-five days;
- 79 (v) Three hundred sixty-six to seven hundred thirty days;
- 80 (vi) Seven hundred thirty-one to one thousand ninety-five days;
- 81 (vii) One thousand ninety-six to one thousand four hundred sixty 82 days;
- 83 (viii) One thousand four hundred sixty-one to one thousand eight 84 hundred twenty-five days;
- 85 (ix) One thousand eight hundred twenty-six to two thousand one 86 hundred ninety days;
- 87 (x) Two thousand one hundred ninety-one to two thousand five 88 hundred fifty-five days;
- 89 (xi) Two thousand five hundred fifty-six to two thousand nine 90 hundred twenty days;
- 91 (xii) Two thousand nine hundred twenty-one to three thousand two 92 hundred eighty-five days;
- 93 (xiii) Three thousand two hundred eighty-six to three thousand six 94 hundred fifty days; and
- 95 (xiv) More than three thousand six hundred fifty days;
- 96 (C) For each correctional facility, the number of inmates who during 97 the previous calendar year have spent more than fifteen days, 98 cumulative, in isolated confinement or prolonged isolated 99 confinement;
- 100 (D) For each unit of restrictive housing, the incidence during each

- month of the past calendar year in each unit of restrictive housing of the following:
- 103 (i) Correctional facility-wide lockdowns;
- (ii) Inmate assaults on correctional and department staff;
- 105 (iii) Inmate-on-inmate assaults;
- 106 (iv) Staff-on-inmate use of force incidents;
- 107 (v) The use of restraints, including, but not limited to, within a cell of an acutely disruptive inmate; and
- 109 (vi) Inmate self-harm, suicide, attempted suicide, and emergency 110 medical or psychiatric treatment.
- 111 (2) In addition to reporting the aggregated data pursuant to 112 subparagraph (C) of subdivision (1) of this subsection, the department 113 shall report and disaggregate such data based on an inmate's age, 114 gender identity, ethnicity, physical disability, if any, mental health 115 score as calculated by the department, if any, and the form and phase 116 of restrictive housing and facility.
 - (d) No child, as defined in section 46b-120 of the general statutes, individual with a serious mental illness, an intellectual disability or other significant mental impairment or individual with a physical disability shall be subjected to isolated confinement, except (1) during a facility-wide lockdown or a Class 1 Incident as defined in the Department of Correction's Administrative Directive 6.6, "Reporting of Incidents," dated July 20, 2015; or (2) as a temporary, emergency response to a substantiated threat of imminent physical harm to correctional staff or other inmates, after which period correctional staff shall return the child or individual to the general facility population, or consult with a qualified mental health professional to determine whether further treatment at a mental health facility is necessary. The department shall document on its Internet web site the cause and

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- 130 duration of any use of isolated confinement pursuant to this
- 131 subsection. The department may isolate any such child or individual
- 132 for a period not to exceed eight consecutive hours or twenty-four
- 133 hours in any seven-day period as an immediate response to an
- inmate's request for protective segregation.
- 135 (e) Not later than January 1, 2018, the Commissioner of Correction 136 shall review all policies of the Department of Correction relating to any child, as defined in section 46b-120 of the general statutes, individual 137 138 with a serious mental illness, an intellectual disability or other 139 significant mental impairment and individual with a physical 140 disability held in isolated confinement and revise such policies as 141 necessary to conform with the provisions of this section and issue such 142 directives as are necessary to implement the provisions of this section.
- (f) In the formulation of any directive issued to implement the provisions of this section, the Department of Correction shall adhere to the following standards, principles and requirements:
 - (1) Prolonged isolated confinement shall be used only to protect against a substantiated threat of imminent physical harm to correctional staff or other inmates, only for the shortest duration reasonably possible to protect against such harm, and only as a last resort when less-restrictive alternatives have failed;
 - (2) No inmate shall be assigned to prolonged isolated confinement without a hearing at which the department shall bear the burden to show by clear and convincing evidence that continued isolated confinement is necessary to protect against a substantiated threat of imminent physical harm to correctional staff or other inmates;
 - (3) No inmate shall be assigned to prolonged isolated confinement for a duration longer than thirty days without review by the commissioner or the commissioner's deputy, if any;
- 159 (4) The housing of any inmate in prolonged isolated confinement for 160 a duration longer than thirty days shall be subject to periodic reviews

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- 161 not less frequently than every thirty days. No inmate shall be retained 162 prolonged isolated confinement unless the department 163 demonstrates by clear and convincing evidence that there is a 164 continuing risk of imminent physical harm to correctional officers or 165 other inmates. Disciplinary violations, alone, shall not be a basis for 166 retention in isolated confinement;
- (5) For any inmate who has spent more than six months in isolated confinement but who, based on clear and convincing evidence, cannot be returned to the general correctional population without a substantial probability of physical harm to correctional staff or other inmates, the department shall adopt and implement reasonable alternative placements that do not involve isolated confinement;
 - (6) Inmates in restrictive housing shall be provided the same access to basic necessities, including, but not limited to, food, water, showers, clothing and bedding, sanitary conditions and medical care, including, but not limited to, any appropriate preventive and emergency care, that are provided to inmates not in restrictive housing;
- 178 (7) No inmate held in isolated confinement shall be simultaneously 179 denied access to social telephone calls, personal visits and personal 180 mail;
 - (8) No inmate shall be released directly from isolated confinement into the community, except (A) if release is mandated by court order or otherwise by law, or (B) if, under extraordinary circumstances, such release is necessary for the safety of the inmate, correctional staff or other inmates; and
 - (9) No inmate who returns to the custody of the department after completing a prior term of imprisonment in departmental custody shall be held in restrictive housing based solely on a classification applied during such inmate's prior term of imprisonment.
- 190 (g) Not later than January 1, 2018, the Commissioner of Correction 191 shall review all policies of the Department of Correction relating to

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- isolated confinement and revise those policies as necessary to conform to this section.
- (h) The provisions of subsections (a) to (g), inclusive, of this section, do not apply to any inmate described in subsection (a) of section 18-10b of the general statutes.
- (i) Within available appropriations, the Department of Correction shall provide training in the following subjects to employees of the department who interact with inmates on a regular basis:
- 200 (1) The recognition of symptoms of mental illness;
- 201 (2) The potential risks and side effects of psychiatric medications;
- 202 (3) De-escalation techniques for safely managing individuals with 203 mental illness;
- 204 (4) Consequences of untreated mental illness;
- 205 (5) The long and short-term psychological effects of isolated 206 confinement; and
- 207 (6) De-escalation and communication techniques to divert inmates 208 from situations that may lead to the inmate being placed in isolated 209 confinement.
- Sec. 2. (NEW) (Effective October 1, 2018) Within available
- appropriations, the Department of Correction shall take measures to
- 212 promote the wellness of employees of the department who interact
- 213 with inmates on a regular basis. These measures may include, but need
- 214 not limited to:
- 215 (1) Employee assistance programs;
- 216 (2) Peer support programs; and
- 217 (3) Stress management training.

This act shall take effect as follows and shall amend the following sections:		
Section 1	October 1, 2017	New section
Sec. 2	October 1, 2018	New section

Statement of Legislative Commissioners:

In Section 1(c)(1)(A), the last sentence was deleted as it was redundant with Section 1(c)(2). In Section 1(d) the language in Subdiv. (3) was moved to the last sentence for accuracy. Section 1(j) was redesignated as Section 2 for clarity.

JUD Joint Favorable Subst.